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PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert K. Rowe et al.

Serial No.: 09/832,534

Examiner: B. Werner

Filed: April 11, 2001

Group Art Unit: 2621

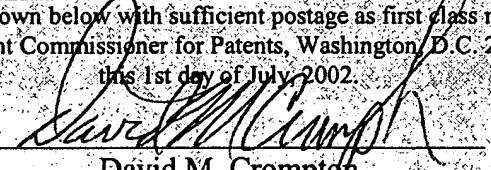
For: APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR
VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY

Docket No.: 1023.1110102

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JUL 23 2002
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT
BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR 1.97(c))

<p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 1st day of July, 2002.</p> <p>By  David M. Crompton</p>
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Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

Accompanying this transmittal are fees in the amount of \$180.

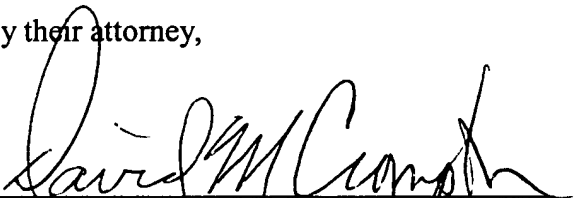
Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

Dated: _____

7/1/02



David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401-2246
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2641 #
✓

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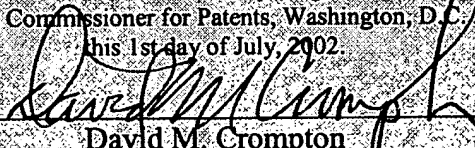
TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

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By 
David M. Crompton

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

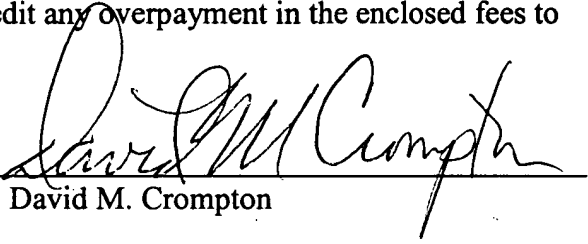
☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

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- [XX] A check in the amount of \$180.00 (Fee Code 126) is enclosed.
- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [XX] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR 1.97(c)), FORM PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:


David M. Crompton

Reg. No. 36,772

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